

In the United States Patent and Trademark Office

Application No.: not assigned
Filed: filed herewith
Title: Method and Apparatus for Plasma-Mediated Thermo-Electrical Ablation
Applicant(s): Daniel V. Palanker
Examiner: not yet assigned
Art Unit: not yet assigned

Mailed 3 May 2002
Santa Clara, CA

Information Disclosure Statement

Commissioner of Patents and Trademarks
Washington, District of Columbia 20231

Dear Sir or Madam:

Attached is a completed Form PTO-1449 and copies of the pertinent parts of the references cited thereon.
It is requested that the document(s) on the enclosed form be made of record.

Part I (Authority)

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

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() 37 C.F.R. § 1.97(d).

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Conditional Petition

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). please treat this paper as the required petition.

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Part II (Payment)

A check is enclosed as indicated:

- ☒ (X) No fee is due.
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- ☐ () The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130).

Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- ☒ (X) No certification is necessary.
- ☐ ()
 - (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
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 - ☐ () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- ☐ () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed.

Copies of the cited art (X) are enclosed, () are of record in parent application Serial No. _____ and will be provided if the Examiner deems it convenient.

Dated: 3 May 2002

Respectfully submitted,



Marek Alboszta
Reg. No. 39,894
45 Cabot Ave., Suite 110
Santa Clara, CA 95051
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fax: (408) 260-7301

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|---|---|--------------------|---|---|---|---|---|---|----------|---------------------------------|------|----------------------------|-------------------------|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE | | | | | | | | | | ATTY. DOCKET NO. S02-038 | | SERIAL NO. Not Assigned | |
| LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary) | | | | | | | | | | APPLICANT Daniel V. Palanker | | | |
| | | | | | | | | | | FILING DATE Filed Herewith | | GROUP Not Assigned | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | | NAME | | RELEVANT INFORMATION |
| | A | 5 | 7 | 6 | 6 | 1 | 5 | 3 | 6/16/98 | Eggers et al. | | | |
| | B | 5 | 6 | 9 | 7 | 9 | 0 | 9 | 12/16/97 | Eggers et al. | | | |
| | C | 6 | 2 | 6 | 7 | 7 | 5 | 7 | 7/31/01 | Aita et al. | | | |
| | D | 5 | 3 | 0 | 0 | 0 | 6 | 8 | 4/5/94 | Rosar et al. | | | |
| | E | 4 | 9 | 4 | 3 | 2 | 9 | 0 | 7/24/90 | Rexroth et al. | | | |
| | F | 4 | 9 | 3 | 6 | 3 | 0 | 1 | 6/26/90 | Rexroth et al. | | | |
| | G | 4 | 5 | 9 | 3 | 6 | 9 | 1 | 6/10/86 | Lindstrom et al. | | | |
| | H | 4 | 2 | 0 | 2 | 3 | 3 | 7 | 5/13/80 | Hren et al. | | | |
| | I | 4 | 2 | 2 | 8 | 8 | 0 | 0 | 10/21/80 | Degler et al. | | | |
| | J | 5 | 9 | 5 | 8 | 2 | 6 | 6 | 9/28/99 | Fugo et al. | | | |
| | K | 5 | 5 | 6 | 9 | 2 | 4 | 2 | 10/29/96 | Lax et al. | | | |
| | L | 6 | 3 | 6 | 4 | 8 | 7 | 7 | 4/2/02 | Goble et al. | | | |
| | M | 6 | 2 | 8 | 7 | 3 | 0 | 6 | 9/11/01 | Kroll et al. | | | |
| | N | 6 | 3 | 5 | 5 | 0 | 3 | 2 | 3/12/02 | Hovda et al. | | | |
| | O | 5 | 8 | 4 | 3 | 0 | 1 | 9 | 12/1/98 | Eggers et al. | | | |
| | P | 6 | 2 | 5 | 4 | 6 | 0 | 0 | 7/3/01 | Willink et al. | | | |
| | Q | 6 | 2 | 2 | 8 | 0 | 8 | 2 | 5/8/01 | Baker et al. | | | |
| | R | 6 | 3 | 5 | 2 | 5 | 3 | 5 | 3/5/02 | Lewis et al. | | | |
| | S | 5 | 8 | 7 | 3 | 8 | 5 | 5 | 2/23/99 | Eggers et al. | | | |
| | T | 5 | 2 | 8 | 1 | 2 | 1 | 6 | 1/25/94 | Klicek | | | |
| | U | 6 | 1 | 4 | 9 | 6 | 2 | 0 | 11/21/00 | Baker et al. | | | |
| | V | 6 | 0 | 3 | 2 | 6 | 7 | 4 | 3/7/00 | Eggers et al. | | | |
| | X | 6 | 1 | 0 | 2 | 0 | 4 | 6 | 8/15/00 | Weinstein et al. | | | |
| | Y | 5 | 6 | 8 | 3 | 3 | 6 | 6 | 11/4/97 | Eggers et al. | | | |
| | Z | 5 | 1 | 0 | 8 | 3 | 9 | 1 | 4/28/92 | Flachenecker et al. | | | |
| | A | 5 | 7 | 8 | 5 | 7 | 0 | 4 | 7/28/98 | Bille et al. | | | |
| | B | 5 | 0 | 8 | 8 | 9 | 9 | 7 | 2/18/92 | Delahuerge et al. | | | |
| | C | 5 | 2 | 1 | 7 | 4 | 5 | 7 | 6/8/93 | Delahuerge et al. | | | |
| | D | 5 | 7 | 0 | 0 | 2 | 6 | 2 | 12/23/97 | Acosta et al. | | | |
| | E | 5 | 2 | 8 | 1 | 2 | 1 | 6 | 1/25/94 | Klicek | | | |

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| | F | 5 | 2 | 5 | 4 | 1 | 2 | 1 | 10/15/93 | Manevitz et al. | |
| | G | 4 | 5 | 9 | 7 | 3 | 8 | 8 | 7/1/86 | Koziol et al. | |
| | H | 4 | 6 | 7 | 4 | 4 | 9 | 9 | 6/23/87 | Pao | |
| | I | 4 | 9 | 0 | 1 | 7 | 0 | 9 | 2/20/90 | Rattner | |
| | K | 4 | 4 | 7 | 6 | 8 | 6 | 2 | 10/16/84 | Pao | |

FOREIGN PATENT DOCUMENTS

| | | 2-letter code | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|---|------------------|-----------------|------|---------|-------------|----|
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER | DATE CONSIDERED |
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* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

In the United States Patent and Trademark Office

Application No.: 10/137,814
Filed: 5/3/2002
Title: Method and Apparatus for Plasma-Mediated Thermo-Electrical Ablation
Applicant(s): Daniel V. Palanker
Examiner: not yet assigned
Art Unit: not yet assigned

Mailed 6/17/02
Santa Clara, CA

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Copies of the cited art ☒ (X) are enclosed, ☐ () are of record in parent application Serial No. _____ and will be provided if the Examiner deems it convenient.

Dated: 6/17/02

Respectfully submitted,



Dr. Ron Jacobs
Reg. No. 50,142
45 Cabot Ave., Suite 110
Santa Clara, CA 95051
tel: (408) 260-7300
fax: (408) 260-7301

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|--|---|----------------------|-----------------|---|---|---|------|---|------------------------|---------------------------------|-------------|--------------------------|--|
| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE | | | | | | | | | | ATTY. DOCKET NO. S02-038 | | SERIAL NO. 10/137,814 | |
| LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary) | | | | | | | | | | APPLICANT Daniel V. Palanker | | | |
| | | | | | | | | | | FILING DATE 5/3/2002 | | GROUP Not Assigned | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | | RELEVANT INFORMATION | |
| | A | 6 | 0 | 0 | 4 | 3 | 1 | 9 | 12/21/99 | Goble et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | |
| | | 2- letter code | DOCUMENT NUMBER | | | | DATE | | COUNTRY | | TRANSLATION | | |
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| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | |
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| EXAMINER | | | | | | | | | DATE CONSIDERED | | | | |
| * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | | | |

In the United States Patent and Trademark Office

Application No.: 10/137,814
Filed: 05/03/2002
Title: Method and Apparatus for Plasma-Mediated Thermo-Electrical Ablation
Applicant(s): Daniel V. Palanker et al.
Examiner: Peter J. Vrettakos
Art Unit: 3739

Mailed 25 Nov. 2003
Palo Alto, CA

Information Disclosure Statement

Commissioner of Patents and Trademarks
Alexandria, VA 22313

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Dated: 25 Nov. 2003

Respectfully submitted,


Marek Albosza
Reg. No. 39,894
2345 Yale Street, 2nd Floor
Palo Alto, CA 94306
tel: (650) 424-0100
fax: (650) 424-0141

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE

ATTY. DOCKET NO.
S02-038/USSERIAL NO.
10/137,814**LIST OF PRIOR ART CITED BY APPLICANT**
(Use several sheets if necessary)APPLICANT
Daniel V. Palanker et al.FILING DATE
05/03/2002GROUP
3739**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | | DOCUMENT NUMBER | | | | | | | DATE | NAME | RELEVANT INFORMATION |
|---------------------|---|--------------------|---|---|---|---|---|---|------------|-------------------|-------------------------|
| | A | 5 | 6 | 6 | 9 | 9 | 0 | 4 | 09/23/1997 | Platt, Jr. et al. | 606/27 |
| | B | 6 | 0 | 4 | 7 | 7 | 0 | 0 | 04/11/2000 | Eggers et al. | 128/898 |
| | C | 3 | 9 | 0 | 3 | 8 | 9 | 1 | 09/09/1975 | Brayshaw | 128/303.14 |
| | D | 6 | 1 | 1 | 3 | 5 | 9 | 4 | 09/05/2000 | Savage | 606/41 |
| | E | 4 | 7 | 8 | 1 | 1 | 7 | 5 | 11/01/1988 | McGreevy et al. | 128/303.17 |
| | F | | | | | | | | | | |
| | G | | | | | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | 2- letter code | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | |
|--|---|----------------------|-----------------|------|---------|-------------|----|
| | I | | | | | YES | NO |
| | J | | | | | | |

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER**DATE CONSIDERED**

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.